

20/22/02

10/08/1953 02/22/02

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 4428.2US

First Inventor William J. Hennen et al.

Title METHODS FOR OBTAINING TRANSFER FACTOR FROM AVIAN SOURCES, COMPOSITIONS INCLUDING AVIAN-GENERATED TRANSFER FACTOR, AND METHODS OF USE

Express Mail Label No. EL740514878US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- Applicant claims small entity status.  
See 37 CFR 1.27.
- Specification [Total Pages 49]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets 3 ]
- Oath or Declaration [Total Pages 2 ]
  - Newly executed (original or copy)
  - Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - Computer Readable Form (CRF)
  - Specification Sequence Listing on:
    - CD-ROM or CD-R (2 copies); or
    - paper
  - Statements verifying identity of above copies

## ACCOMPANYING APPLICATIONS PARTS

- Assignment Papers (cover sheet & document(s))
- 37 C.F.R. §3.73(b) Statement  Power of (when there is an assignee) Attorney
- English Translation Document (if applicable)
- Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
- Preliminary Amendment
- Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- Certified Copy of Priority Document(s) (if foreign priority is claimed)
- Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation  Divisional  Continuation-in-part (CIP)

of prior application No: 09 / 667,147

Prior application information: Examiner S. Brown

Group / Art Unit: 1648

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

 Customer Number or Bar Code Labelor  Correspondence address below

(Insert Customer Number or Bar Code Label here)

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	PATENT TRADEMARK OFFICE			
Address				
City	State		Zip Code	
Country	Telephone		Fax	

Name (Print/Type)	Brick G. Power	Registration No. (Attorney/Agent)	38,581
Signature			Date
			February 22, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

# FEE TRANSMITTAL for FY 2002

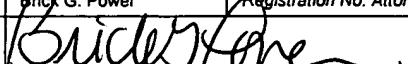
*Patent fees are subject to annual revision.*

**TOTAL AMOUNT OF PAYMENT** (\$ 379)

<i>Complete if Known</i>	
Application Number	Not yet assigned
Filing Date	February 22, 2002
First Named Inventor	William J. Hennen et al.
Examiner Name	Unknown
Group / Art Unit	Unknown
Attorney Docket No.	4428.2US

METHOD OF PAYMENT (check one)					FEE CALCULATION (continued)																																																																																																																																																																																																																												
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number <span style="border: 1px solid black; padding: 2px;">20-1469</span></p> <p>Deposit Account Name <span style="border: 1px solid black; padding: 2px;">TraskBritt</span></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>					<p><b>3. ADDITIONAL FEES</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Fee Code</th> <th style="text-align: center;">Large Entity Fee (\$)</th> <th style="text-align: center;">Small Entity Fee (\$)</th> <th colspan="2" style="text-align: center;">Fee Description</th> <th style="text-align: right;">Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td style="text-align: center;">130</td><td style="text-align: center;">205</td><td style="text-align: center;">65</td><td colspan="2">Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td style="text-align: center;">50</td><td style="text-align: center;">227</td><td style="text-align: center;">25</td><td colspan="2">Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td style="text-align: center;">130</td><td style="text-align: center;">139</td><td style="text-align: center;">130</td><td colspan="2">Non-English specification</td><td></td></tr> <tr><td>147</td><td style="text-align: center;">2,520</td><td style="text-align: center;">147</td><td style="text-align: center;">2,520</td><td colspan="2">For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td style="text-align: center;">920*</td><td style="text-align: center;">112</td><td style="text-align: center;">920*</td><td colspan="2">Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td style="text-align: center;">1,840*</td><td style="text-align: center;">113</td><td style="text-align: center;">1,840*</td><td colspan="2">Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td style="text-align: center;">110</td><td style="text-align: center;">215</td><td style="text-align: center;">55</td><td colspan="2">Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td style="text-align: center;">400</td><td style="text-align: center;">216</td><td style="text-align: center;">200</td><td colspan="2">Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td style="text-align: center;">920</td><td style="text-align: center;">217</td><td style="text-align: center;">460</td><td colspan="2">Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td style="text-align: center;">1,440</td><td style="text-align: center;">218</td><td style="text-align: center;">720</td><td colspan="2">Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td style="text-align: center;">1,960</td><td style="text-align: center;">228</td><td style="text-align: center;">980</td><td colspan="2">Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td style="text-align: center;">320</td><td style="text-align: center;">219</td><td style="text-align: center;">160</td><td colspan="2">Notice of Appeal</td><td></td></tr> <tr><td>120</td><td style="text-align: center;">320</td><td style="text-align: center;">220</td><td style="text-align: center;">160</td><td colspan="2">Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td style="text-align: center;">280</td><td style="text-align: center;">221</td><td style="text-align: center;">140</td><td colspan="2">Request for oral hearing</td><td></td></tr> <tr><td>138</td><td style="text-align: center;">1,510</td><td style="text-align: center;">138</td><td style="text-align: center;">1,510</td><td colspan="2">Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td style="text-align: center;">110</td><td style="text-align: center;">240</td><td style="text-align: center;">55</td><td colspan="2">Petition to revive - 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\*\*or number previously paid, if greater; For Reissues, see above

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Brick G. Power	Registration No. Attorney/Agent)	38,581	Telephone	(801) 532-1922
Signature				Date	February 22, 2002

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